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| APPLICATION NO. | FILING DATE                  | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO.   | CONFIRMATION NO. |
|-----------------|------------------------------|----------------------|-----------------------|------------------|
| 10/624,581      | 07/22/2003                   | Holger Soring        | · SOR 205             | 7516             |
|                 | 7590 07/03/2007              |                      | EXAMINER              |                  |
| 13 Forest Drive | Horst Kasper 13 Forest Drive | ••                   | KOHARSKI, CHRISTOPHER |                  |
| Warren, NJ 070  | )59                          |                      | ART UNIT              | PAPER NUMBER     |
|                 |                              | •                    | 3763 .                |                  |
|                 |                              |                      |                       |                  |
|                 |                              |                      | MAIL DATE             | DELIVERY MODE    |
|                 |                              |                      | 07/03/2007            | PAPER            |

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

| i   |   | ·  | ED |  |  |  |
|---|---|--|----|--|--|--|
|   | Application No.   | Applicant(s)   |    |  |  |  |
|   | 10/624,581  | SORING ET AL.  |    |  |  |  |
| Office Action Summary   | Examiner  | Art Unit   |    |  |  |  |
|   | Christopher D. Koharski   | 3763   |    |  |  |  |
| The MAILING DATE of this communicati Period for Reply   | on appears on the cover sheet with  | the correspondence address   |    |  |  |  |
| A SHORTENED STATUTORY PERIOD FOR WHICHEVER IS LONGER, FROM THE MAILI  - Extensions of time may be available under the provisions of 37 after SIX (6) MONTHS from the mailing date of this communica  - If NO period for reply is specified above, the maximum statutory  - Failure to reply within the set or extended period for reply will, be Any reply received by the Office later than three months after the earned patent term adjustment. See 37 CFR 1.704(b). | NG DATE OF THIS COMMUNICA<br>CFR 1.136(a). In no event, however, may a repl<br>tion.<br>y period will apply and will expire SIX (6) MONTH<br>by statute, cause the application to become ABAN | TION. y be timely filed S from the mailing date of this communication. IDONED (35 U.S.C. § 133). |    |  |  |  |
| Status  |   |  |    |  |  |  |
| 1) Responsive to communication(s) filed or  | n <u>27 February 2007</u> .   | •  |    |  |  |  |
|   | This action is non-final.   |  |    |  |  |  |
| 3)☐ Since this application is in condition for a  | allowance except for formal matter  | s, prosecution as to the merits is   |    |  |  |  |
| closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.   |   |  |    |  |  |  |
| Disposition of Claims   | •   |  |    |  |  |  |
| 4) Claim(s) 1-32 is/are pending in the application of the above claim(s) is/are w   |   |  |    |  |  |  |
| 5) Claim(s) is/are allowed.   | milarawii nom oonbiacianon.   |  |    |  |  |  |
| 6)⊠ Claim(s) <u>1-32</u> is/are rejected.   |   |  |    |  |  |  |
| 7)⊠ Claim(s) <u>1-32</u> is/are objected to.  |   |  |    |  |  |  |
| 8) Claim(s) are subject to restriction  | 8) Claim(s) are subject to restriction and/or election requirement.   |  |    |  |  |  |
| Application Papers  | •   |  |    |  |  |  |
| 9)⊠ The specification is objected to by the Ex  | aminer.   | **   |    |  |  |  |
| 10) The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner.  |   |  |    |  |  |  |
| Applicant may not request that any objection  | to the drawing(s) be held in abeyance   | e. See 37 CFR 1.85(a).   |    |  |  |  |
| Replacement drawing sheet(s) including the  | correction is required if the drawing(s)  | is objected to. See 37 CFR 1.121(d).   |    |  |  |  |
| 11)☐ The oath or declaration is objected to by  | the Examiner. Note the attached (   | Office Action or form PTO-152.   | ļ  |  |  |  |
| Priority under 35 U.S.C. § 119  |   |  |    |  |  |  |
| 12) Acknowledgment is made of a claim for for a) All b) Some * c) None of:  1. Certified copies of the priority doc   | 14  | 19(a)-(d) or (f).  |    |  |  |  |
| 2. Certified copies of the priority doc   | uments have been received in App  | lication No  |    |  |  |  |
| <ol><li>Copies of the certified copies of the</li></ol>   | ne priority documents have been re  | ceived in this National Stage  |    |  |  |  |
| application from the International  |   |  |    |  |  |  |
| * See the attached detailed Office action for   | r a list of the certified copies not re   | ceivea.  |    |  |  |  |
| Attachment(s)   |   |  |    |  |  |  |
| 1) Notice of References Cited (PTO-892) 2) Notice of Draftsperson's Patent Drawing Review (PTO-9)   |   | nmary (PTO-413)<br>Mail Date   |    |  |  |  |
| 3) Information Disclosure Statement(s) (PTO/SB/08) Paper No(s)/Mail Date  |   | mal Patent Application   |    |  |  |  |

#### **DETAILED ACTION**

### Election/Restrictions

Examiner acknowledges the reply filed 2/27/2007 in which Applicant's Representative elected Group I with traverse. Applicant's arguments are found persuasive and therefore the election/restriction is withdrawn. Currently claims 1-32 are pending for examination in this application.

### Information Disclosure Statement

Examiner acknowledges that the information disclosure statement (IDS) filed with this application is incorrect, the patent inventor and patent number do not match and the patent is not contain any seemingly relevant subject matter, accordingly, the examiner is not considering any information disclosure statements filed at this time.

## Specification

The disclosure is objected to because of the following informalities: The form of the specification does not meet current MPEP standards with the correct section headings and form (see MPEP 601).

Appropriate correction is required.

## Claim Objections

Claims 1-32 are objected to because of the following informalities: The claims contain numerous errors (grammatical, antecedent basis, language) and are also vague because of language errors, Examiner requests Applicant's Representative examine and correct the deficiencies in this application prior to further action, some examples are:

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Claim 1: "characterized" – improper US form claim language

Claim 2: "character" – word is improper; "the suction apparatus" –lacks antecedent basis

Claim 3: "characterized" – improper US form claim language; "a suction tube out of an opaque" – missing words

Claim 7: "in that an adaptation sleeve can be slide..." – claim seems to cover subject matter already present in claim 1.

Claims 13 and 14: claims subject matter seems to a repeated of prior claimed subject matter.

These same errors appear in several other claims, and are carried through duplicate dependant claims. Appropriate correction is required.

### Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States

Claims 1-27 and 29-32 are rejected under 35 U.S.C. 102(b) as being anticipated by Banko (3,805,787). Banko discloses an ultrasonic surgical instrument.

Regarding claims 1-27 and 29-32, Banko discloses a medical treatment device (10) with a hand piece (near 19) equipped with an ultrasound vibration generator (14) and a sonotrode (24) attached to the hand piece, wherein an adaptation sleeve (40) can be slid over the sonotrode with the sonotrode extending beyond the sleeve and

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containing a connector (40, 50) for receiving a suction hose with a separator between the suction apparatus (32) and the suction hose (32a) (Figures 1-7A) and a flushing line (30a) from an irrigation source (30) that are aligned with the axis of symmetry of the handpiece (Figure 4). Additionally, Banko discloses that the adaptation sleeve is made of opaque stainless steel (col 4, ln 1-10) and the ultrasound apparatus is capable of providing a frequency of 5kHz to 60kHz and preferably from 15 kHz a to 30 kHz (col 2, ln 50-70).

## Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

The factual inquiries set forth in *Graham* v. *John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

- 1. Determining the scope and contents of the prior art.
- 2. Ascertaining the differences between the prior art and the claims at issue.
- 3. Resolving the level of ordinary skill in the pertinent art.
- 4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

Claims 28-29 are rejected under 35 U.S.C 103(a) as being unpatentable over Banko in view of Mallet et al. (6,592,595). Banko meets the claim limitations as described above except for the HEPA filter trap system.

However, Mallet et al. teaches a microderm suction apparatus.

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Regarding claims 28-29, Mallet et al. teaches a filter system (32) containing a HEPA filter (203) (Figure 9).

At the time of the invention, it would have been obvious to add the filter trap system of Mallet et al. to the system of Banko et al. in order to contain potentially harmful body fluids from the body. The references are analogous in the art and with the instant invention; therefore, a combination is proper. Therefore, one skilled in the art would have combined the teachings in the references in light of the disclosure of Mallet et al.

### **Conclusion**

The prior art made of record and not relied upon is considered pertinent to applicant's disclosure: Christ et al. (5,984,889).

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Christopher D. Koharski whose telephone number is 571-272-7230. The examiner can normally be reached on 7:30am to 4:00pm EST.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Nick Lucchesi can be reached on 571-272-4977. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Date: 6/18/2007

Christopher D. Koharski AU 3763

NICHOLAS D. LUCCHESI SUPERVISORY PATENT EXAMINER TECHNOLOGY CENTER 3700